

# Parameterized Algorithms using Matroids

## Lecture III: Advance Applications of Representative Sets

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This lecture is based on the following paper:

Stefan Kratsch and Magnus Wahlström, Representative Sets and Irrelevant Vertices: New Tools for Kernelization, FOCS 2012, 450-459.

Given: A a matroid  $(M, \mathcal{J})$ , and a family of  $p$ -sized subsets from  $\mathcal{J}$ :

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Want: A subfamily  $\hat{\mathcal{F}}$  of  $\mathcal{F}$  such that:

For any  $X \subseteq [n]$  of size at most  $q$ ,

if there is a set  $S$  in  $\mathcal{F}$  such that  $X \cap S = \emptyset$  and  $X \cup S \in \mathcal{J}$ ,  
then there is a set  $\hat{S}$  in  $\hat{\mathcal{F}}$  such that  $X \cap \hat{S} = \emptyset$  and  $X \cup \hat{S} \in \mathcal{J}$ .

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Lovász, 1977

Given: A **matroid**  $(M, \mathcal{J})$ , and a family of  **$p$ -sized subsets** from  $\mathcal{J}$ :

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There is an **efficiently computable** subfamily  $\hat{\mathcal{F}}$  of  $\mathcal{F}$  of size **at most**  $\binom{p+q}{p}$  such that:

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Márx (2009) and Fomin, Lokshtanov, Saurabh (2013)

## Summary.

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We have at hand a  $p$ -uniform collection of independent sets,  $\mathcal{F}$  and a number  $q$ . Let  $X$  be any set of size at most  $q$ . For any set  $S \in \mathcal{F}$ , if:

- a  $X$  is disjoint from  $S$ , and
- b  $X$  and  $S$  together form an independent set,

then a  $q$ -representative family  $\widehat{\mathcal{F}}$  contains a set  $\widehat{S}$  that is:

- a disjoint from  $X$ , and
- b forms an independent set together with  $X$ .

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Such a subfamily is called a  $q$ -representative family for the given family.



# Digraph Pair Problem

### Digraph Pair Cut Problem

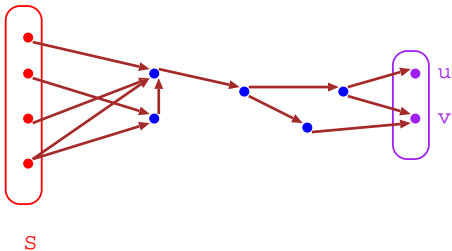
**Input:** A directed graph  $D = (V, A)$ , a source vertex  $s \in V$  and a set  $\mathcal{P}$  of pairs of vertices.

**Parameter:**  $k$

**Question:** Does there exist a set  $X \subseteq V \setminus \{s\}$  of size at most  $k$  such that every pair in  $\mathcal{P}$  is not **reachable** from  $s$  in  $D \setminus X$ ?

## REACHABILITY OF VERTEX PAIRS

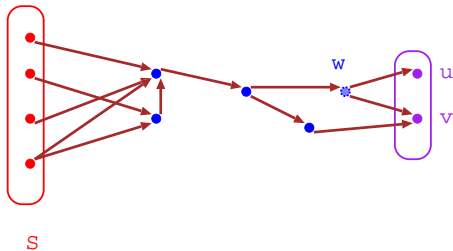
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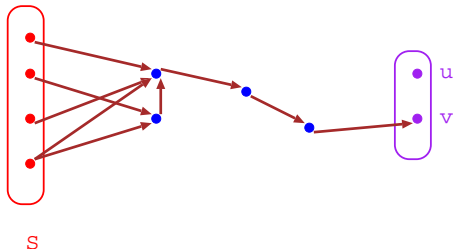
Want to delete vertex  $w$ .



## REACHABILITY OF VERTEX PAIRS

**Reachable pair** : A pair of vertices, say  $(u, v)$  such that both are reachable by paths (need not be disjoint) from  $S$ .

Deleting  $w$  makes the pair  $(u, v)$  non-reachable from  $S$ .



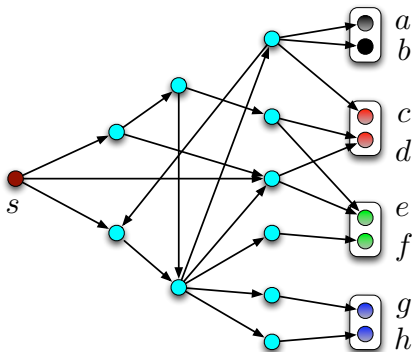
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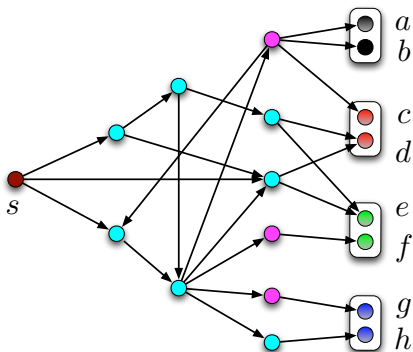
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## IMPORTANT OBSERVATION



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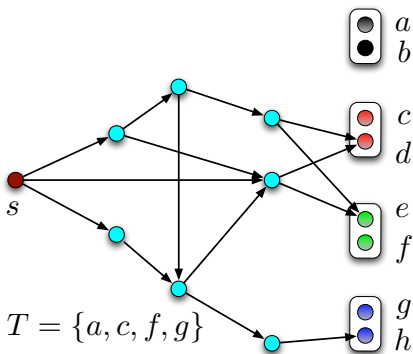
## IMPORTANT OBSERVATION



- Let  $X$  be a solution to the problem.

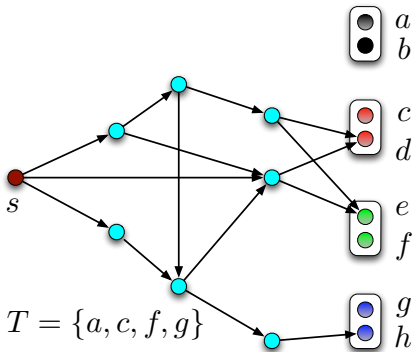


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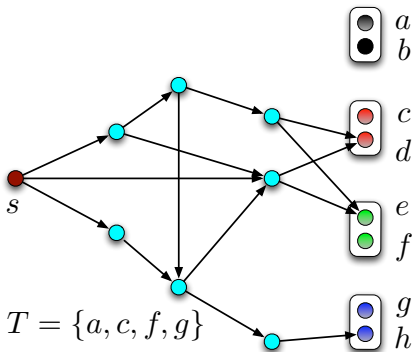
- Let  $X$  be a solution to the problem.
- Clearly no pair  $(u, v) \in \mathcal{P}$  is reachable from  $s$  in  $D \setminus X$ .

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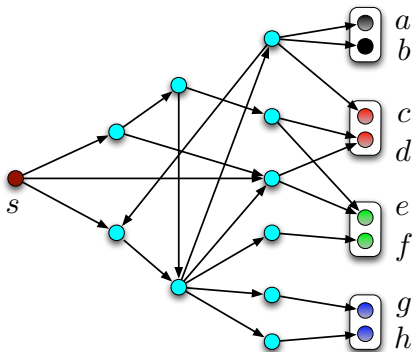
- Let  $X$  be a solution to the problem.
- Let  $T$  be a set consisting of vertices, say  $u \in \{u, v\}$ , from each pair  $(u, v)$ , such that there is no path from  $s$  to  $u$  in  $D \setminus X$ .

## IMPORTANT OBSERVATION



- Let  $X$  be a solution to the problem.
- Clearly,  $X$  is a  $s$ - $T$  separator in  $D$ . In fact,  $X$  could be any minimum cut between  $s$  and  $T$  in  $D$ .

## IMPORTANT OBSERVATION



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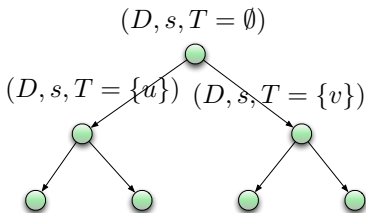
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- 4 Else, there is a pair  $(u, v) \in \mathcal{P}$  which is reachable from  $s$  in  $D \setminus C$
- 5 Pick any such reachable pair and make a two-way branch for adding  $u$  or  $v$  to  $T$ . Return to step 2

## DRAWBACKS

We do not know how many iterations are required before all pairs of  $\mathcal{P}$  become nonreachable from  $s$ . The algorithm could take  $2^{|\mathcal{P}|}$  time.

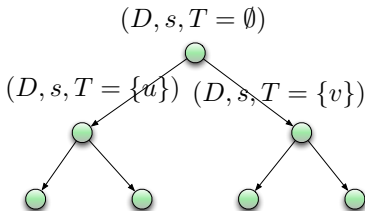


## A NEW STRATEGY

- Show that some parameter, which has to be positive in any graph, drops at every iteration of the branching algorithm.

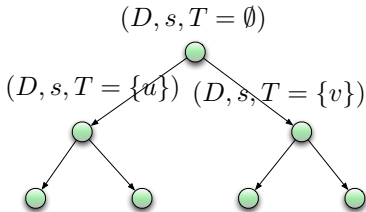
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- Parameter be  $\mu = k - \lambda$ . Here  $\lambda$  is the size of a  $(s, T)$ -minimum cut for the local  $T$  of an iteration.



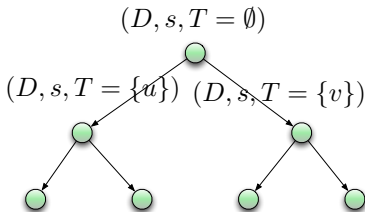
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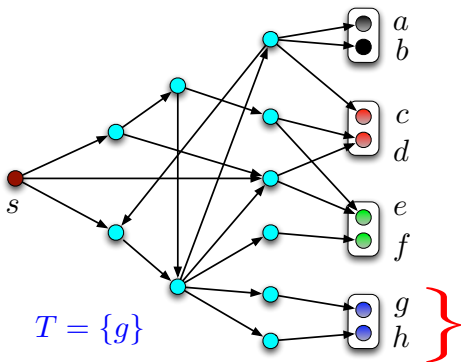
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- Suppose, at the beginning of iteration  $i$  we find a  $(s, T)$ -minimum cut  $C$ , we find a reachable pair  $(u, v)$  in  $D \setminus C$ .
- Look at any one of the branches (say the one which picks  $u$  for  $T$ ). The size of the minimum cut in the  $(i + 1)^{\text{st}}$  iteration could be of the same size as  $C$ .

## A NEW STRATEGY



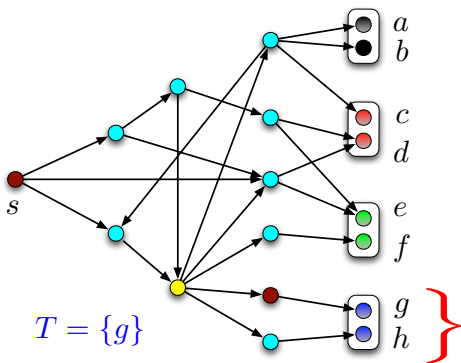
- Show that some parameter, which has to be positive in any graph, drops at every iteration of the branching algorithm.
- Suppose, at the beginning of iteration  $i$  we find a  $(s, T)$ -minimum cut  $C$ , we find a reachable pair  $(u, v)$  in  $D \setminus C$ .
- Is there a **minimum cut** which will strictly increase in size in every step of the iteration, on both the branches?

YES THERE IS!



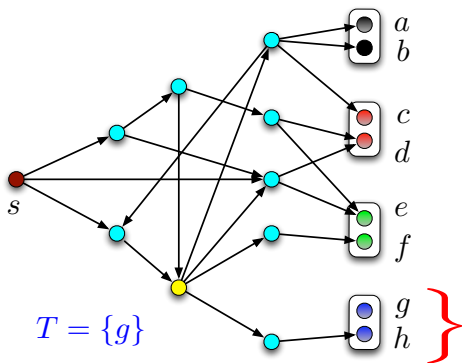
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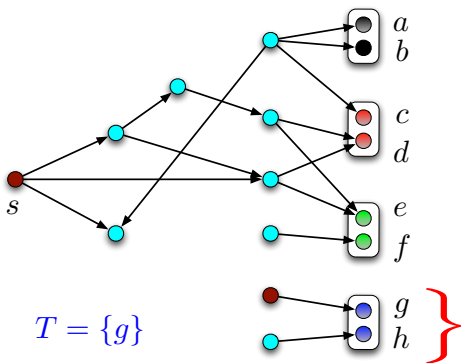
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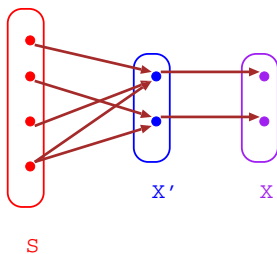
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- **Closest set of a set  $T$** : For any set of vertices  $T$ , the **induced closest set**  $C(T)$  is the unique  $(S, T)$ -mincut which is closest to  $S$ . Clearly, if  $X$  is closest set then  $C(X) = X$ .

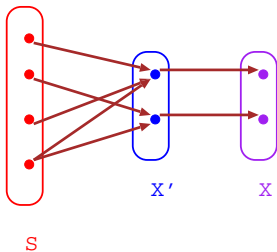
## EXAMPLE

- $S$  is the source set;  $X'$  is the closest set of  $X$ ;  $X'$  is a closest set.



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- Analogy with important separators.



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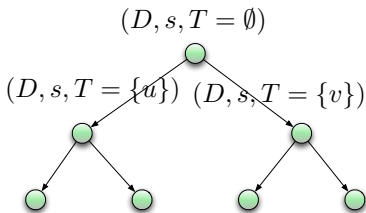
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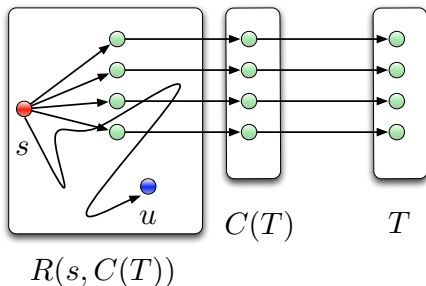
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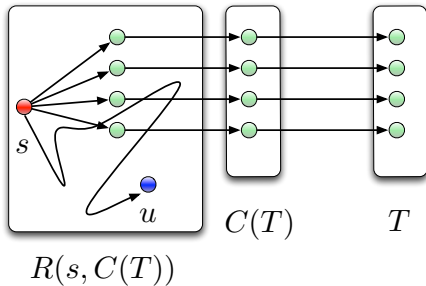


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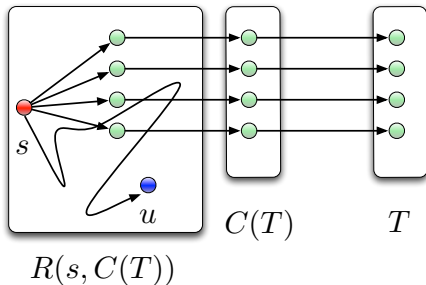




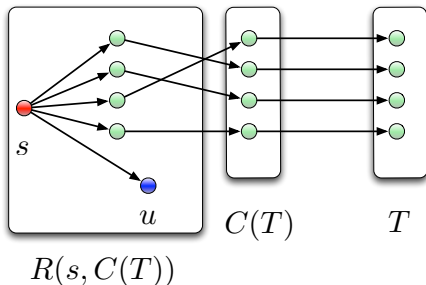
- In iteration  $i$  let  $C(T) = C$  be the closest  $(s, T)$  set and let  $(u, v) \in \mathcal{P}$  be reachable from  $s$  in  $D \setminus C$ .
- Pick any branch (say the branch where  $u$  is picked in  $T$ ). Any minimum cut  $C'$  of  $(s, T \cup u)$  is also a cut for  $(s, T)$ , so  $|C'| \geq |C|$ . Want to show  $|C'| > |C|$



- Consider a mincut between  $s$ - $C \cup \{u\}$  in  $D[R(s, T) \cup C]$  – say  $Z$ .



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- Clearly  $|Z| \geq |C|$ . Suppose  $|Z| = |C|$ . Then clearly  $Z \neq C$  – else it can not disconnect path from  $s$  to  $u$ . But then it contradicts that  $C(T)$  is closest set to  $s$ .



- Consider a mincut between  $s$ - $C \cup \{u\}$  in  $D[R(s, T) \cup C]$  – say  $Z$ .
- Suppose  $|Z| > |C|$ . Then there are  $|Z| + 1$  internally vertex disjoint paths from  $s$  to  $C \cup \{u\}$  in  $D[R(s, T) \cup C]$ .
- Using this we get that there are  $|C| + 1$  internally vertex disjoint paths from  $s$  to  $T \cup \{u\}$ . Thus,  $|C'| > |C|$ .

## ABSTRACTING OUT A STATEMENT FROM THE PROOF..

Let  $D$  be a digraph  $S$  and  $T$  be two vertex sets and  $C(T)$  be the induced closest set. Furthermore, let  $R(S, C(T))$  denotes the set of vertices that are reachable from  $S$  in  $D \setminus C(T)$ .

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for every vertex  $u \in R(S, C(T))$  we have that there are  $|C| + 1$  vertex disjoint paths (internally vertex disjoint if  $S = \{s\}$ ) from  $S$  to  $C \cup \{v\}$  in  $D[R(S, C(T)) \cup C(T)]$ .

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- Suppose the answer returned is **NO**. Can there be a solution set that the algorithm has missed? **(Think about it!)**
- Algorithm runs in  $2^k n^{O(1)}$  time.

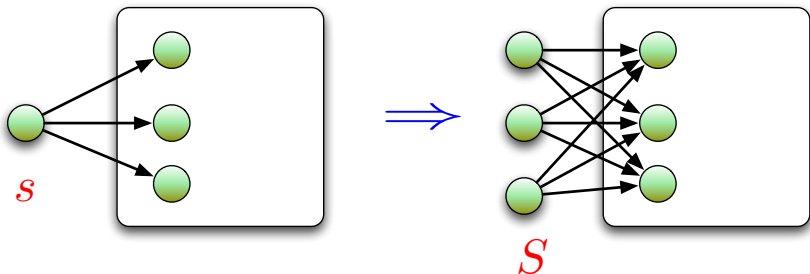
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- The number of pairs in the input set  $\mathcal{P}$  could be as large as  $\mathcal{O}(n^2)$ .

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- The number of pairs in the input set  $\mathcal{P}$  could be as large as  $\mathcal{O}(n^2)$ .
- Notice that if we have a solution  $X$  of size at most  $k$ , then the closest set  $C(X)$  from  $s$  is also a solution.

## FIRST ATTEMPT



- Let  $U$  be the set of vertices that appear in pairs of  $\mathcal{P}$ . Need to make sure that we find a solution which does not contain  $s$ : we make  $k + 1$  copies of  $s$  (and give the same adjacencies) and call this set  $S$  the source set.

## FIRST ATTEMPT

- Look at the gammoid  $(\mathcal{D}, \mathcal{S}, \mathcal{U})$  (source set  $\mathcal{S} = \mathcal{S}$  and sink set  $\mathcal{T} = \mathcal{U}$ ) and look at its representation matrix  $\mathbf{A}$ .

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- Consider a subset of columns which correspond to a set  $W$  of vertices such that  $\forall (u, v) \in \mathcal{P}, W \cap (u, v) \neq \emptyset$  and such that the rank of these columns is at most  $k$ , then we know that the minimum  $(S, W)$  cut is a solution to the Digraph Pair cut problem.

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- But, since  $U$  could be a very large set, the representation matrix  $A$  could be large!

- Suppose we knew that the size of  $\mathcal{P}$  was small, then the representation of the gammoid  $(\mathcal{D}, \mathcal{S}, \mathcal{U})$  is a compression for **Digraph Pair cut** .
- If  $|\mathcal{P}|$  is very large, then we want to find a small subset of  $\mathcal{P}$ , such that making this set of pairs non-reachable is as good as making all pairs of  $\mathcal{P}$  nonreachable.



- Suppose we knew that the size of  $\mathcal{P}$  was small, then the representation of the gammoid  $(\mathcal{D}, \mathcal{S}, \mathcal{U})$  is a compression for **Digraph Pair cut**.
- If  $|\mathcal{P}|$  is very large, then we want to find a small subset of  $\mathcal{P}$ , such that making this set of pairs non-reachable is as good as making all pairs of  $\mathcal{P}$  nonreachable.

We **SEEM** to be looking for something like a **representative set** for the set  $\mathcal{P}$  of pairs.

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- The idea is to encode the desired witness as an independent set of an appropriate matroid. Clearly, the size of the solution + constraint gives a lower bound on the rank of the matroid.

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So we encode this to get our desired  $W$ .

## DEFINING THE PROBLEM IN TERMS OF A MATROID

- Build a matroid  $M$ , consisting of 2 disjoint copies of the gammoid  $(D, S)$ . Call the first gammoid -  $M_1 - (D^1, S^1)$  and the second -  $M_2 - (D^2, S^2)$ . Refer to all objects of gammoid  $i$  with superscript  $i$ . Thus,  $M = M_1 \oplus M_2$ .

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- Let

$$\mathcal{P}_m = \{(u^1, v^2) \mid (u, v) \in \mathcal{P}\}.$$

Compute  $2k$ -representative for  $\mathcal{P}_m$ . There is a representative set  $\hat{\mathcal{P}}_m$  of  $\mathcal{P}_m$  that extends all independent sets of  $M$  of size at most  $2k$ . Size of  $\hat{\mathcal{P}}_m$  is at most  $\mathcal{O}(k^2)$ .

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Let  $\mathcal{P}'$  be the set of pairs in  $\mathcal{P}$  whose corresponding pairs are in  $\hat{\mathcal{P}}_m$ .

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$\Rightarrow$  Obvious as  $\mathcal{P}' \subseteq \mathcal{P}$ .

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# Cut-Covering Problem

## CUT-COVERING PROBLEM

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**Input:** A digraph  $D$  and vertex subsets  $S$  and  $T$ .

**Question:** Find a set  $Z$  such that for any  $A \subseteq S, B \subseteq T, Z$  contains a minimum  $(A, B)$ -cut.

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Clearly  $Z = V(D)$  suffices!

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It is not yet clear what this small should be. We will see at the end that it is  
**not too large.**

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- These vertices are called **essential vertices**

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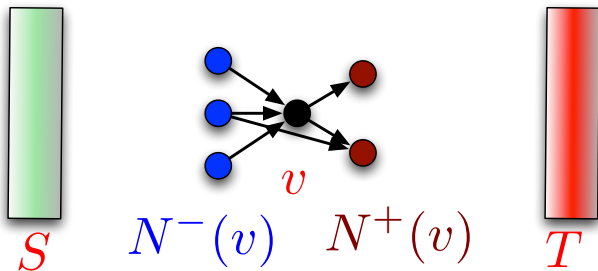
More precisely we will show that (a) either all the vertices are essential; or (b) we can obtain an equivalent instance of the problem with strictly smaller number of vertices.

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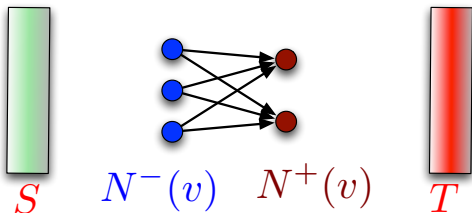
We first answer **Question 2**.

## DEALING WITH NONESSENTIAL VERTICES



- Let  $v$  be a non-essential vertex.

## DEALING WITH NONESSENTIAL VERTICES



Transformed Digraph

- Delete  $v$  and transform  $D$  to digraph  $D'$  such that there is a complete bipartite graph between the in-neighbours  $N^-(v)$  and out-neighbours  $N^+(v)$  of  $v$ , with edges directed from  $N^-(v)$  to  $N^+(v)$ .



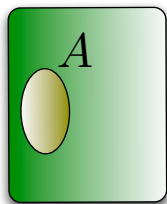
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This implies our construction.

Since for every  $A \subseteq S$  and  $B \subseteq T$  there is a minimum cut that avoids  $v$ , we have that  $D$  and  $D'$  are equivalent instance of **Cut-Covering Problem**.

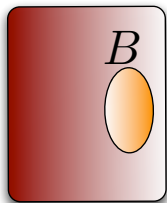
## BOUNDING THE CUT IN $D'$



$C_A$



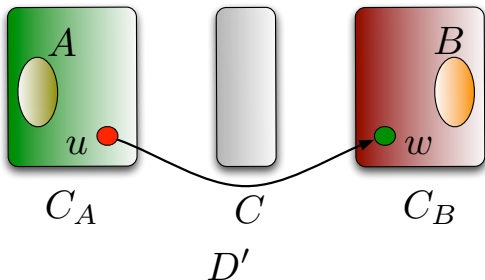
$C$



$C_B$

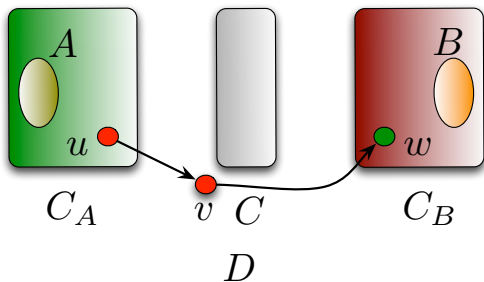
- Take a minimum cut  $C$  of  $(A, B)$  in  $D$  that did not contain  $v$ . Such a cut exists. Let  $C_A, C_B$  be the components containing  $A$  and  $B$  respectively in  $D \setminus C$ .

## BOUNDING THE CUT IN $D'$



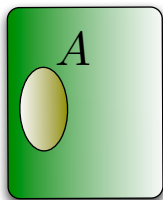
- Suppose this is not a cut of  $A, B$  in  $D'$ . This implies that the transformation introduced an edge from a vertex  $u \in C_A$  to  $w \in C_B$ .
- This happens if  $u \in N^-(v)$  and  $w \in N^+(v)$ .

## BOUNDING THE CUT IN $D'$



- This implies that there was a path from  $A$  to  $B$  through  $u, v, w$  in  $D \setminus C$  (contradiction to  $C$  being an  $(A, B)$ -cut in  $D$ ).
- So, for any  $(A, B)$  size of a minimum cut in  $D'$  is at most the size of a minimum cut in  $D$ .

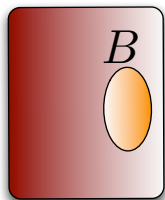
## BOUNDING THE CUT IN $D$



$C'_A$



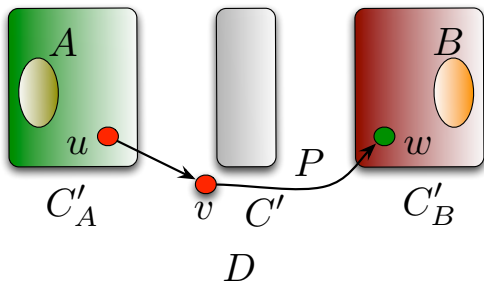
$C'$



$C'_B$

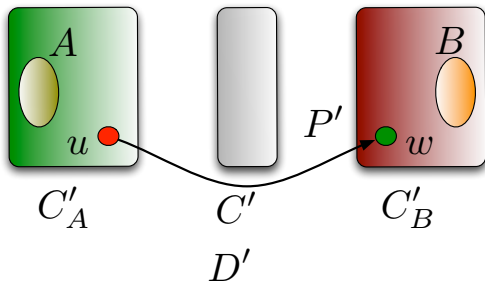
- Take a cut  $C'$  of  $(A, B)$  in  $D'$ .

## BOUNDING THE CUT IN $D$



- Suppose this is not a cut of  $A, B$  in  $D$ . This implies there is a path  $P$  from  $A$  to  $B$  in  $D \setminus C'$  and  $v \in P$ .
- This happens if  $u \in N^-(v) \cap P$  and  $w \in N^+(v) \cap P$  and  $u, w \notin C'$ .

## Bounding the cut in $D$



- In  $D'$  there was an arc  $a = (u, w)$  and a path  $P' = PuawP$  from  $A$  to  $B$  avoiding  $C'$  (contradiction to  $C'$  being an  $(A, B)$ -cut on  $D'$ ).
- So, for any  $(A, B)$  size of a minimum cut in  $D'$  is equal to the size of a minimum cut in  $D$ .



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- Iteratively throw out a nonessential vertex of the present graph and make the above transformation, that preserves the size of the minimum cut between any  $A \subseteq S, B \subseteq T$ .
- Stop when there are no more nonessential vertices in the current graph.

## REMARKS

- Notice that there may a nonessential vertex of  $D$  that became essential in one of the iterations.

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## REMARKS

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- An essential vertex remains essential throughout the algorithm: we showed that by the property of the transformation from  $D$  to  $D'$ , any minimum cut of  $D'$  is a minimum cut of  $D$ .
- By the property of the transformation, the final graph contains a minimum cut in  $D$  for any  $A, B$ .

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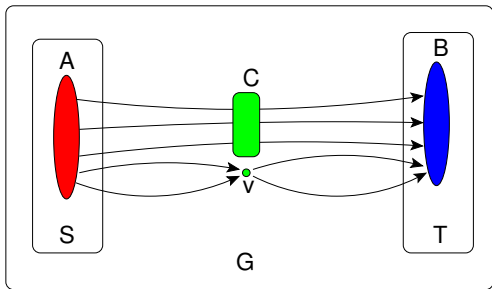
We now answer **Question 1**.



## ESSENTIAL VERTICES

- Recall that we have a directed graph  $D = (V, E)$  and two sets of vertices  $S$  and  $T$ . A vertex is called **essential** for  $A \subseteq S$  and  $B \subseteq T$  if it occurs in every minimum  $(A, B)$  cut

## HOW DO ESSENTIAL VERTICES LOOK LIKE



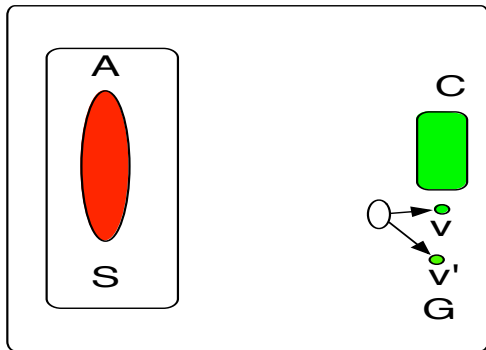
## PROPERTIES OF ESSENTIAL VERTICES

### Lemma

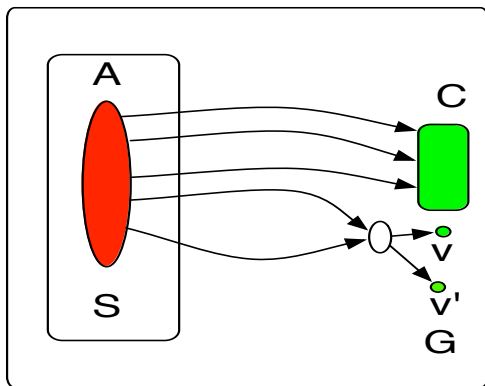
Suppose that  $v$  is essential for  $A$  and  $B$  and let  $C$  be any minimum  $(A, B)$  cut. Then,

- 1 there is a set of  $|C| + 1$  paths from  $A$  to  $C$  in  $R(A, C)$  which are pairwise vertex disjoint, except for 2 of these paths which intersect in  $v$  and
- 2 there is a set of  $|C| + 1$  paths from  $C$  to  $B$  in  $NR(A, C)$  which are pairwise vertex disjoint, except for 2 of these paths which intersect in  $v$ .

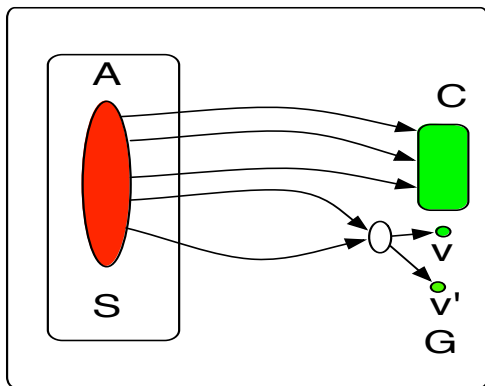
## ESSENTIAL VERTICES



- Construct the graph  $G'$  by taking  $G[R(A, C)] \cup C$  and adding a new vertex  $v'$  and adding all arcs from the in-neighborhood of  $v$  to  $v'$ .

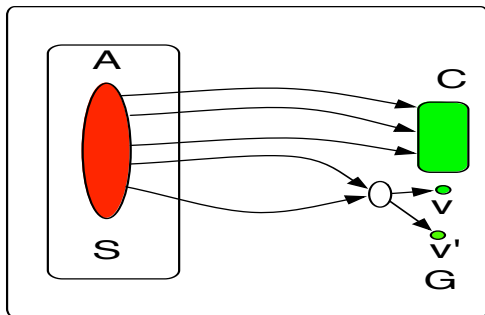


- What is the value of the maximum flow from  $A$  to  $C \cup v'$  in  $G'$ ?



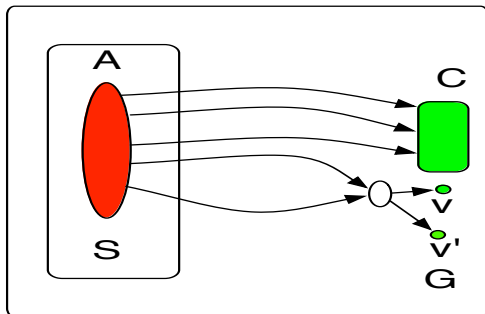
- What is the value of the maximum flow from  $A$  to  $C \cup v'$  in  $G'$ ?
- If this value is  $|C| + 1$ , then we are done!

## ESSENTIAL VERTICES



- Value of the max flow is not  $|C| + 1 \implies$  an  $A-(C \cup v')$  separator  $Z$  of size at most  $|C|$ .

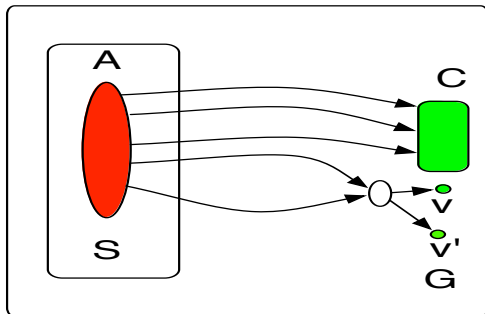
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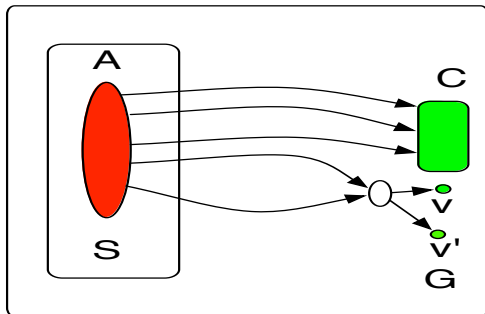


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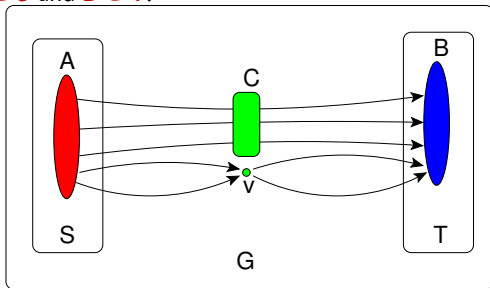
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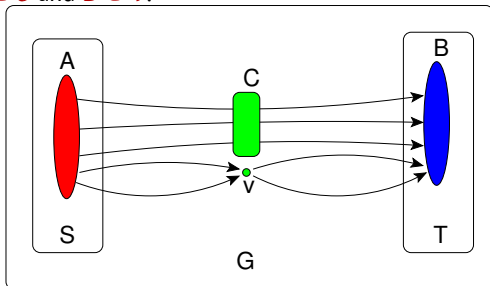
## PROOF OF CUT COVERING LEMMA

- Recall that we have a directed graph  $G(V, E)$  and two sets of vertices  $S$  and  $T$ . A vertex is called **essential** if it occurs in every minimum  $(A, B)$  cut, for some  $A \in S$  and  $B \in T$ .



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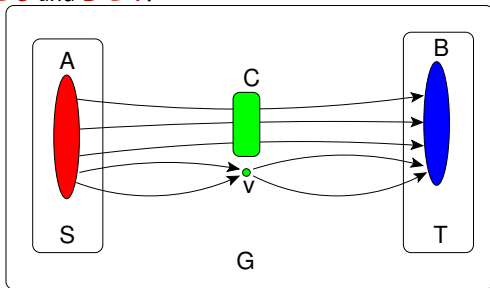
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## PROOF OF THE CUT COVERING LEMMA

Observe the following :

Let  $r$  the size of the minimum  $(S, T)$  cut. Observe that the size of any  $(A, B)$  cut is bounded by  $r$ .

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- We will describe a **linear matroid  $M$** .
- Then we will describe a family  $\mathcal{F}$  of independent sets of rank  $3$ , such that each independent set corresponds to a vertex of  $G$ .



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It is defined on the universe  $V[0]$ , where  $V[0]$  is a copy of  $V$ . For a vertex  $v \in V$ , we will use  $v[0]$  to denote the corresponding vertex in  $V[0]$ .

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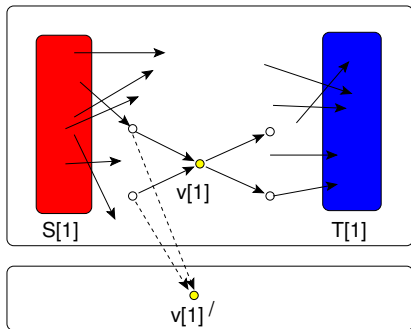
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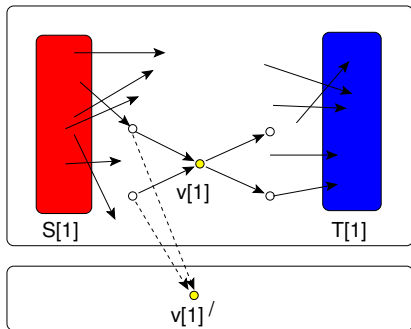
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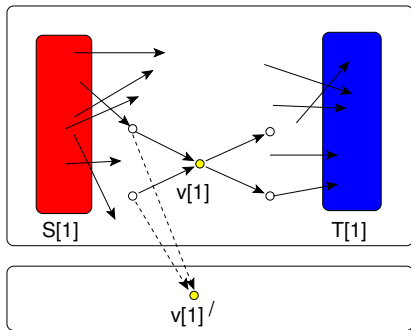
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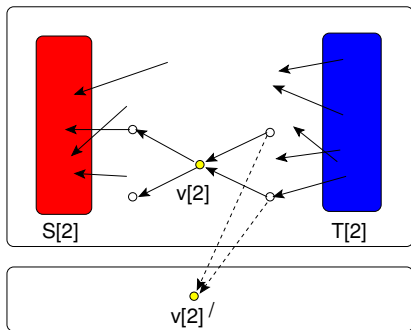
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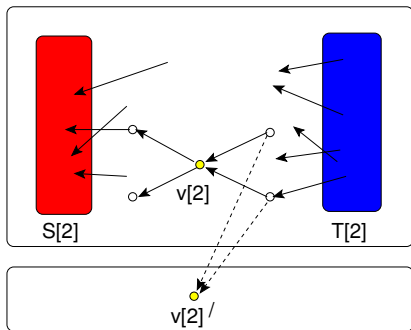
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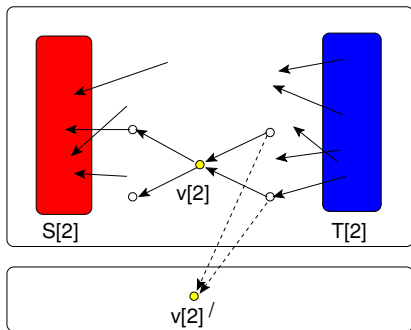
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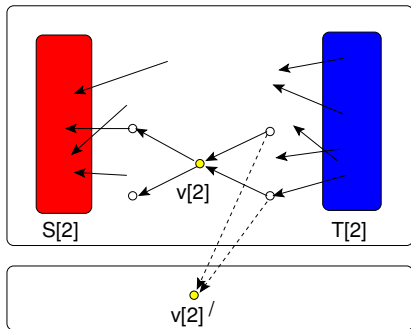
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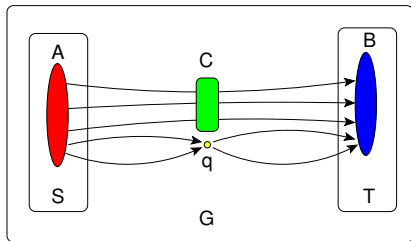
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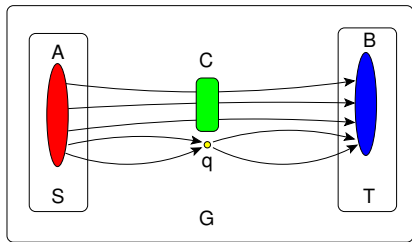
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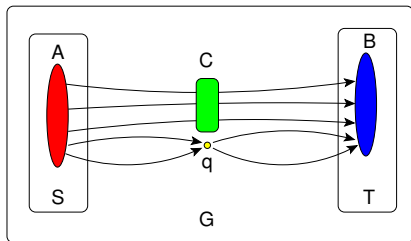
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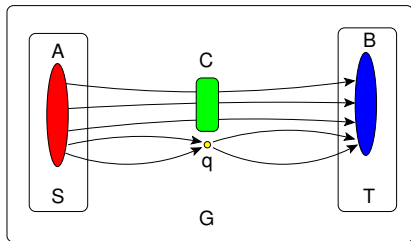
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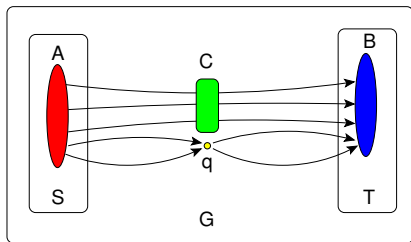
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- Observe that  $C_q$  is an independent set of rank at most  $(|S| + |T| + r - 3)$ .



## PROOF: THE SET $C_q$ .

- Observe that  $f(q) = \{q[0], q[1]', q[2]'\}$  and  $C_q$  are disjoint.



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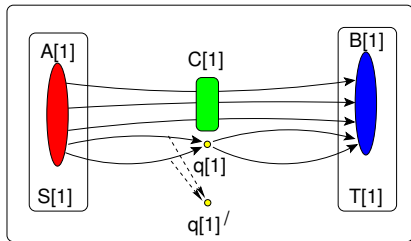


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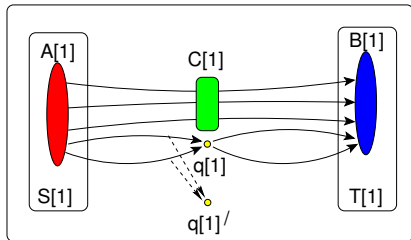
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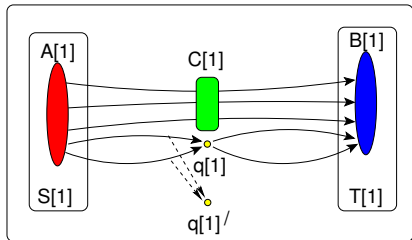
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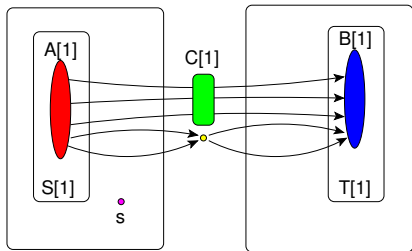
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Therefore,  $f(s)$  and  $C_q$  have  $s[0]$  as a common element.

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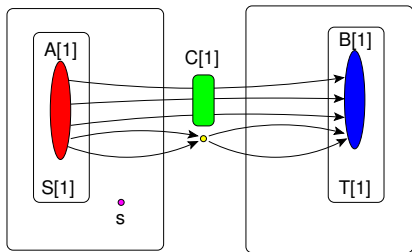
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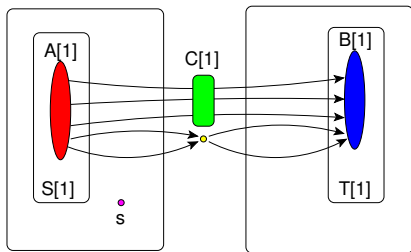
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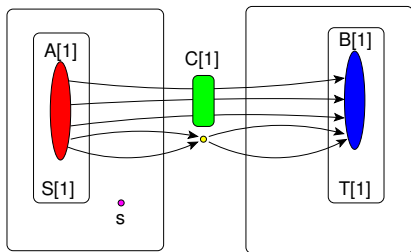
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Therefore, all paths from  $B[2]$  to  $q[2]'$  must pass through  $C[2]$ . So  $f(s) \cup C_q$  is not an independent set.



## PROOF: THE SET $C_q$ .

- Therefore for every essential vertex  $q$ ,  $f(q)$  is present in  $\hat{\mathcal{F}}$  and  $q$  itself is present in  $R(G)$ .
- Since the size of  $\hat{\mathcal{F}}$  is bounded by  $(|S| + |T| + r)^3$ , we have that the size of  $R(G)$  is bounded by the same quantity.

## Theorem

Let  $G$  be a directed graph and  $X \subseteq V$  a set of terminals. In polynomial time one can identify a set  $Z$  of  $\mathcal{O}(|X|^3)$  vertices such that for any  $S, T, R \subseteq X$ , a minimum  $(S, T)$ -vertex cut in  $G \setminus R$  is contained in  $Z$ .

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**Digraph Pair**

**Exercise :D**

Thank You!  
Any Questions?