

Simple Sublinear-Time Edit Distance Approximation

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Based on a FOCS'22 paper
with Elazar Goldenberg, Robert Krauthgamer, and Barna Saha

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Edit Distance

Edit distance $ED(X, Y)$

Minimum number of character insertions, deletions, and substitutions to transform X to Y .

X : r e l e v a n t
 // // // | | |
 Y : e l e p h a n t

$$ED(X, Y) = 3$$

Computing Edit Distance

Exact algorithms

	Reference	Time	Remarks
Vintsyuk'68, Needleman & Wunsch'70, ...		$\mathcal{O}(n^2)$	
	Backurs, Indyk; STOC'15	$\Omega(n^{2-o(1)})$	conditioned on SETH

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Approximation algorithms (selected results, all randomized)

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	Andoni, Onak; STOC'09	$n^{1+o(1)}$	$n^{o(1)}$
Chakraborty, Das, Goldenberg, Koucky, Saks; FOCS'18		$\tilde{\mathcal{O}}(n^{12/7})$	$\mathcal{O}(1)$
	Goldenberg, Rubinfeld, Saha; STOC'20	$n^{1.6+o(1)}$	$3 + o(1)$
	Andoni, Nosatzki; FOCS'20	$\mathcal{O}(n^{1+\varepsilon})$	$\mathcal{O}_\varepsilon(1)$

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Sublinear-Time Edit Distance Approximation

Model

- $\mathcal{O}(1)$ -time random access to X and Y
- Monte-Carlo randomization

Adaptive algorithms: may issue access queries one by one.

Non-adaptive algorithms: issue a *single batch* of access queries.

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(k, K) -Gap Edit Distance Problem [BEK⁺03,AO09,GKS19,KS20,GKKS22,BCFN22,BCFK24]

Given random access to strings $X, Y \in \Sigma^n$, and integer parameters $0 \leq k \leq K \leq n$, return:

- **CLOSE** if $\text{ED}(X, Y) \leq k$,
- **FAR** if $\text{ED}(X, Y) > K$,
- an arbitrary answer otherwise.

Gap Edit Distance Problem: Selected Results

Theorem

Goldenberg, K., Krauthgamer, Saha; FOCS'22

There is a non-adaptive algorithm that solves the (k, K) -Gap Edit Distance problem in $\hat{O}\left(\frac{nk}{K}\right)$ time provided that $K > k \cdot n^{\Omega(1)}$.

- The first algorithm to achieve sublinear runtime for the whole spectrum of parameters.

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Theorem

Bringmann, Cassis, Fischer, K.; SODA'24

There is an adaptive algorithm that solves the (k, K) -Gap Edit distance problem in $\tilde{O}\left(\frac{n}{K} + \sqrt{nk} + k^2\right)$ time provided that $K > k \cdot n^{\Omega(1)}$.

Algorithm of Andoni and Onak [STOC'09]

Oracle (almost linear-time approximation of edit distance)

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$$\text{ED}(X, Y) \leq \sum_{i=1}^m \text{ED}(X_i, Y_i) \lesssim m\hat{k} + \frac{1}{\rho} \cdot \frac{n}{m}$$

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Expected running time: $\hat{O}(\rho \cdot m \cdot \frac{n}{m}) = \hat{O}(\rho \cdot n) = \hat{O}(\frac{n^2}{mK}) = \hat{O}(\frac{n^2 k}{K^2})$.

Simple Sublinear-Time Edit Distance Approximation [GKKS, FOCS'22]

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Key Lemma

Lemma

If there are at most $1/\rho$ far blocks $X_{q,i}$ in total and the last level $q = \log n$ contains only close blocks, then X can be partitioned into $2/\rho$ close blocks.

$X_{0,1}$															
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Expected running time: $\hat{O}(\sum_q \rho \cdot 2^q \cdot \frac{n}{2^q}) = \hat{O}(\rho \cdot n) = \hat{O}(\frac{nk}{K})$.

Simple Algorithm

There is a non-adaptive algorithm that solves the (k, K) -Gap Edit Distance problem in $\hat{O}\left(\frac{nk}{K}\right)$ time provided that $K > k \cdot n^{\Omega(1)}$.

Conclusions & Open Problems

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Optimal Non-Adaptive Algorithm

There is a non-adaptive algorithm that solves (k, K) -Gap Edit distance problem with $K > k \cdot n^{\Omega(1)}$ using $\tilde{O}\left(\frac{n\sqrt{k}}{K}\right)$ queries and in $\tilde{O}\left(\frac{n\sqrt{k}}{K} + \frac{nk^2}{K^2}\right)$ time.

Any non-adaptive algorithm for (k, K) -Gap Edit distance with $K < \frac{n}{6}$ needs $\Omega\left(\frac{n\sqrt{k}}{K}\right)$ queries.

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Optimal Non-Adaptive Algorithm

There is a non-adaptive algorithm that solves (k, K) -Gap Edit distance problem with $K > k \cdot n^{\Omega(1)}$ using $\tilde{O}(\frac{n\sqrt{k}}{K})$ queries and in $\tilde{O}(\frac{n\sqrt{k}}{K} + \frac{nk^2}{K^2})$ time.

Any non-adaptive algorithm for (k, K) -Gap Edit distance with $K < \frac{n}{6}$ needs $\Omega(\frac{n\sqrt{k}}{K})$ queries.

Open problems:

- 1 Bridge the gap between time and query complexity. Conditional lower bounds?
- 2 Use adaptive algorithms to circumvent the lower bound also for $k > \sqrt{n}$.

Conclusions & Open Problems

Simple Algorithm

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Thank you for your attention!