

# On QE Algorithms over algebraically closed field

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MACIS2013

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What is Quantifier Elimination over algebraically closed field(ACF QE) ?

ACF QE is computing the equivalent formula **eliminating quantifier from a first-order formula over ACF.**

Example

**Input**

$$\exists x \in \mathbb{C}(x - a_1 = 0 \wedge x - a_2 = 0 \wedge x - a_1 a_2 \neq 0)$$

**Output**

$$a_1 = a_2 \wedge -a_2 + a_2^2 \neq 0$$

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## Basic formulas

$K$  : field,  $\overline{K}$  : the algebraic closure of  $K$ ,

$\overline{A}$  : free variables  $A_1, \dots, A_n$ ,  $\overline{X}$  : quantified variables  $X_1, \dots, X_m$ ,

$f_1, \dots, f_r, g_1, \dots, g_s \in K[\overline{A}, \overline{X}]$

### Basic formula

$$\exists \overline{X} \in \overline{K}^n (f_1 = 0 \wedge \dots \wedge f_r = 0 \wedge g_1 \neq 0 \wedge \dots \wedge g_s \neq 0)$$

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General ACF QE **can return** by QE the above basic formulas.

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General ACF QE **can return** by QE the above basic formulas.

The existing algorithms of ACF QE :

- ① Method based on greatest common divisor (GCD-QE)
- ② Method based on comprehensive Groebner system (CGS-QE)
- ③ Method based on characteristic sets and regular chains(CSRC-QE)

The problems of the existing algorithms :

- Computation speed  
The computation speed of GCD-QE and CGS-QE is **slow**.
- Representation of output result  
The representation of output result of GCD-QE and CSRC-QE is generally **complicated**.



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## Merits of each existing algorithms

- GCD-QE

The computation of ACF QE for **one segment** is often **fast**.

- CGS-QE

By recent research we can compute a CGS with almost **a minimum number of segments**, which give us a very simple QE formula.

- CSRC-QE

The computation of ACF QE is often **fast**.

## Demerits of each existing algorithms

- GCD-QE

We generally have a huge number of segments which makes the QE formula complicated, further we also need long computation time.

- CGS-QE

We have to use new variables for inequations, which sometimes makes computation very heavy.

- CSRC-QE

The representation of output result is generally complicated.

## Example

$$\exists(x, y, z) \in \mathbb{C}^3$$

$$axz + xy + yz = 0 \wedge axyz + axy + axz + 1 = 0 \wedge axz - az + yz - x - y = 0$$

- Output of GCD-QE :

$$a^2 - 3a + 3 = 0 \vee a \neq 0 \vee (16a^8 - 144a^7 + 504a^6 - 864a^5 + 729a^4 - 135a^3 - 324a^2 + 405a - 81 = 0 \wedge -a^2 + 3a - 3 \neq 0)$$

- Output of CGS-QE :

$$a \neq 0$$

- Output of CSRC-QE :

$$a(a+1)(a^2 - 3a + 3) \neq 0 \vee a^2 - 3a + 3 = 0 \vee a + 1 = 0$$

The output of CGS-QE is the most simple of 3 outputs.

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Today we introduce **Hybrid-QE** for the following point.

- Return fast.
- Return simple output.

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## Definitions

$$m \in \mathbb{N}, S_1, \dots, S_t \subseteq \overline{K}^m$$

### Definition

$\{S_1, \dots, S_t\}$  is a **partition** of  $\overline{K}^m$ .

$$:\Leftrightarrow (\forall i, j (i \neq j \Rightarrow S_i \cap S_j = \emptyset)) \wedge (S_1 \cup \dots \cup S_t = \overline{K}^m)$$

- We call each  $S_i$  **segment**.
- $S_i$  is represented by a **set which subtracts a variety from a variety**.
- We identify  $S_i$  with its defining formula.

$F, G_1, \dots, G_t$  : finite subsets of  $K[\overline{A}, \overline{X}]$ ,  $\{S_1, \dots, S_t\}$  : a partition of  $\overline{K}^m$

### Definition

$\{(S_1, G_1), \dots, (S_t, G_t)\}$  is a **CGS** of  $\langle F \rangle$ .

$$:\Leftrightarrow \forall \bar{a} \in S_i \ G_i(\bar{a}) \text{ is a Groebner basis (GB) of } \langle F(\bar{a}) \rangle \text{ for each } i$$

, where  $F(\bar{a}) = \{f(\bar{a}, \overline{X}) : f \in F\} \subset \overline{K}[\overline{X}]$ .



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## Lemma

The following formulas is equivalent.

- $\exists \bar{X} \in \bar{K}^n (f_1 = 0 \wedge \dots \wedge f_r = 0 \wedge g_1 \neq 0 \wedge \dots \wedge g_s \neq 0)$
- $\neg(\forall \bar{X} \in \bar{K}^n (g_1 \dots g_s \in \sqrt{\langle f_1, \dots, f_r \rangle}))$
- $\exists(\bar{Z}, \bar{X}) \in \bar{K}^{s+n} (f_1 = 0 \wedge \dots \wedge f_r = 0 \wedge 1 - Z_1 g_1 = 0 \wedge \dots \wedge 1 - Z_s g_s = 0)$

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$X$  : a quantified variable,  $f_1, \dots, f_r, g \in K[\bar{A}, X]$

## Basic formula

$$\exists X \in \bar{K} (f_1 = 0 \wedge \dots \wedge f_r = 0 \wedge g \neq 0)$$

- 1 Compute parametric GCD's s.t.

$$g_i(\bar{a}, X) := \text{GCD}(f_1(\bar{a}, X), \dots, f_r(\bar{a}, X))$$

for  $\bar{a} \in S_i$ , where  $\{S_1, \dots, S_t\}$  is a partition of  $\bar{K}^m$

- 2 Refine each segment to  $S'_i$  s.t.  $\neg(\forall \bar{a} \in S_i \forall X \in \bar{K} (g \in \sqrt{\langle g_i(\bar{a}, X) \rangle}))$ ;
- 3 Return  $\cup S'_i$ ;

We can eliminate many quantified variables by recursive application.

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$\exists \bar{X} \in \bar{K}^n (f_1 = 0 \wedge \dots \wedge f_r = 0 \wedge g_1 \neq 0 \wedge \dots \wedge g_s \neq 0)$

- 1 Introduce **new variables**  $Z_1, \dots, Z_s$ ;
- 2 Let  $I = \langle f_1, \dots, f_r, 1 - Z_1 g_1, \dots, 1 - Z_s g_s \rangle$ ,  $R = \emptyset$ ;
- 3 Compute a **CGS**  $\mathcal{G}$  of  $I$  w.r.t. **graded reverse lexicographic order (GRL)**;
- 4 For  $(S_i, G_i) \in \mathcal{G}$ ,  
if  $G_i(\bar{a})$  doesn't contain non-zero constant for  $\bar{a} \in S_i$ , then  $R = R \cup S_i$ ;
- 5 Return  $R$ ;



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### Basic formula

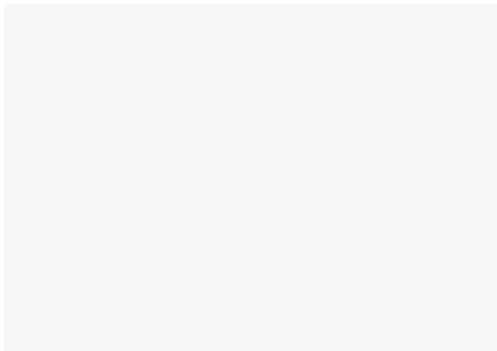
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# Suzuki-Sato's CGS original algorithm

**input** : finite  $F \subset K[\overline{A}, \overline{X}]$ ,  
a term order  $<_{\overline{X}}$  on  $T(\overline{X})$ ,  
the term order  $<_{\overline{A}, \overline{X}}$  on  $T(\overline{A}, \overline{X})$  s.t.  $\overline{A} \ll \overline{X}$  which extends  $<_{\overline{X}}$ ;  
**output** : CGS of  $\langle F \rangle$  w.r.t.  $<_{\overline{X}}$ ;



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**output** : CGS of  $\langle F \rangle$  w.r.t.  $<_{\overline{X}}$ ;

Compute a reduced GB  $G$  of  $\langle F \rangle$  w.r.t.  $<_{\overline{A}, \overline{X}}$  in  $K[\overline{A}, \overline{X}]$ .



G

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**output** : CGS of  $\langle F \rangle$  w.r.t.  $<_{\overline{X}}$ ;

Let  $\{h_1, \dots, h_s\} = \{hc(g) \in K[\overline{A}] : g \in G \setminus K[\overline{A}]\}$ .



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**output** : CGS of  $\langle F \rangle$  w.r.t.  $<_{\overline{X}}$ ;

Let  $S = \mathbf{V}(G \cap K[\overline{A}]) \setminus \mathbf{V}(\text{LCM}(h_1, \dots, h_s))$ .

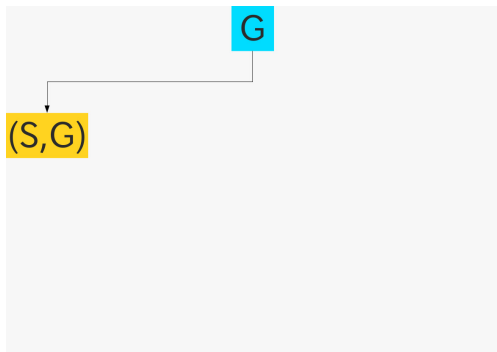


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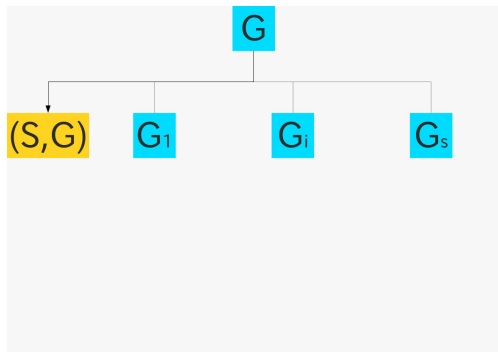
$G(\overline{a})$  is a GB w.r.t.  $<_{\overline{X}}$  for  $\overline{a} \in S$ .



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Compute a reduced GB  $G_i$  of  $\langle F, h_i \rangle$  w.r.t.  $<_{\overline{A}, \overline{X}}$  in  $K[\overline{A}, \overline{X}]$  for each  $i$ .

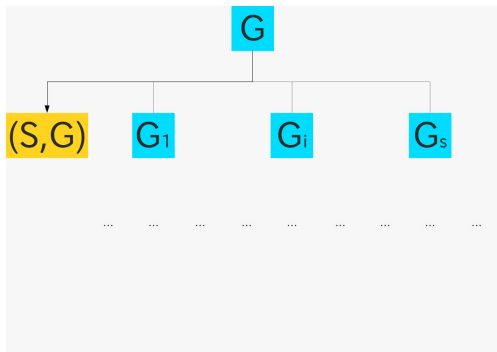




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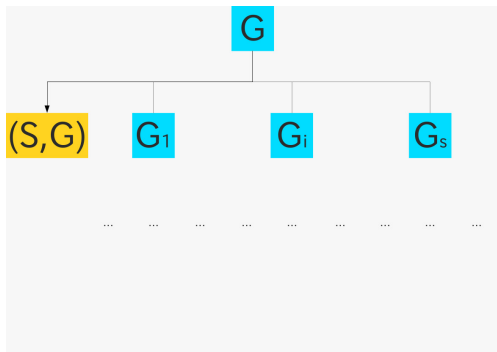
The following is computed similarly.



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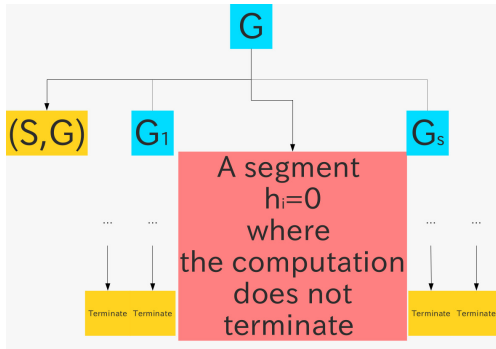
This algorithm is structure like pyramid.



# Suzuki-Sato's CGS original algorithm

When the whole algorithm does not terminate, we sometimes have only **a few segments** where **the computation does not terminate**.

The following is an example that we have a segment  $h_i = 0$  where the computation does not terminate.

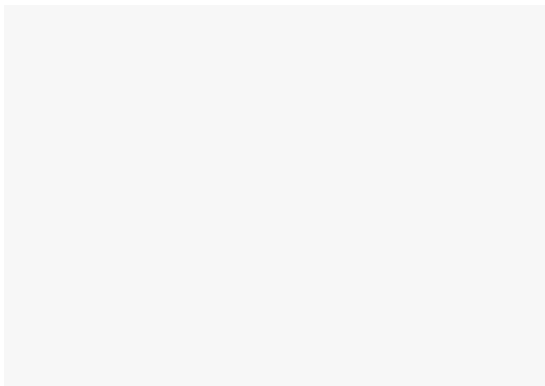


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# Algorithm

**input** :  $\exists \bar{X} \in \bar{K}^n (f_1 = 0 \wedge \dots \wedge f_r = 0 \wedge g_1 \neq 0 \wedge \dots \wedge g_s \neq 0)$ ;

**output** : ACF QE formula;

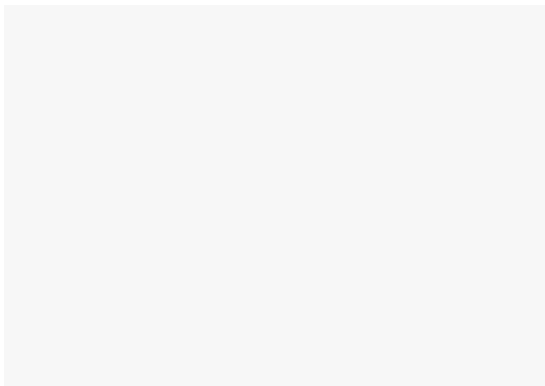


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Introduce new variables  $Z_1, \dots, Z_s$ .

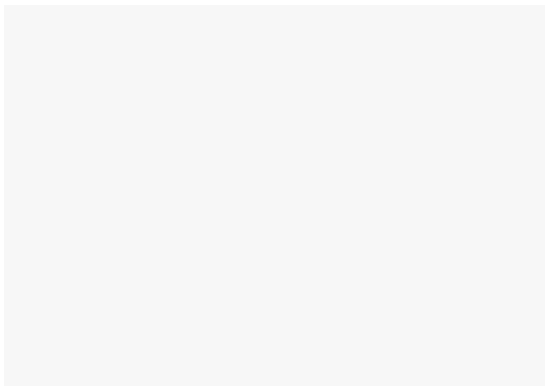


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**output** : ACF QE formula;

Let  $F = \{f_1, \dots, f_r, 1 - Z_1 g_1, \dots, 1 - Z_s g_s\}$ .

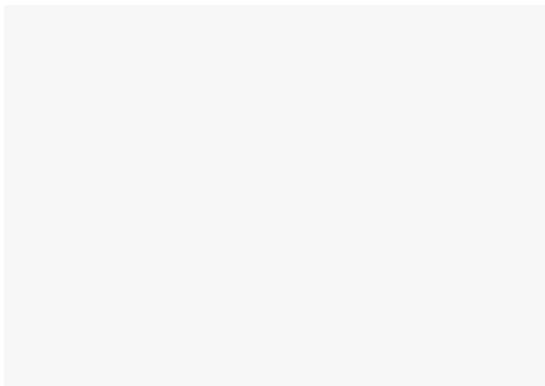


# Algorithm

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Let  $<$  be GRL in  $T(\bar{Z}, \bar{X})$ .



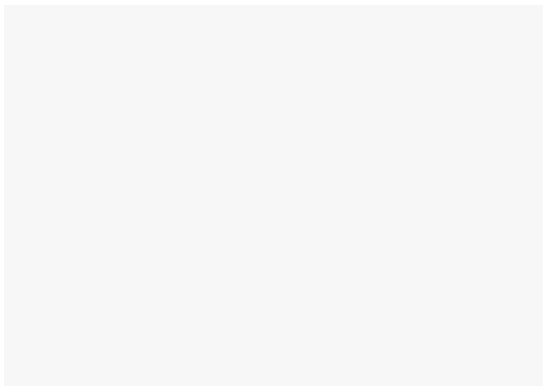


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**output** : ACF QE formula;

Let  $<'$  be an order in  $T(\bar{Z}, \bar{X}, \bar{A})$  satisfying  $\bar{A} \ll \{\bar{Z}, \bar{X}\}$  and extending  $<$ .

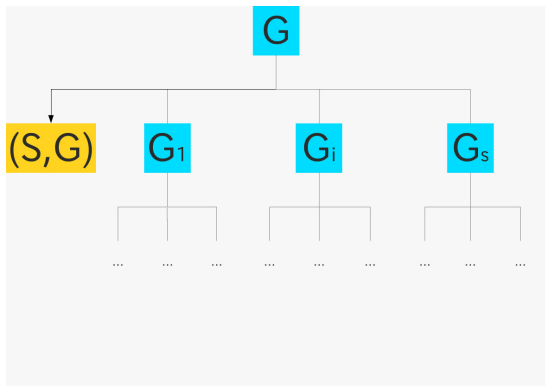


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**input** :  $\exists \bar{X} \in \bar{K}^n (f_1 = 0 \wedge \dots \wedge f_r = 0 \wedge g_1 \neq 0 \wedge \dots \wedge g_s \neq 0)$ ;

**output** : ACF QE formula;

Apply Suzuki-Sato's CGS algorithm to  $F, <, <'$ .

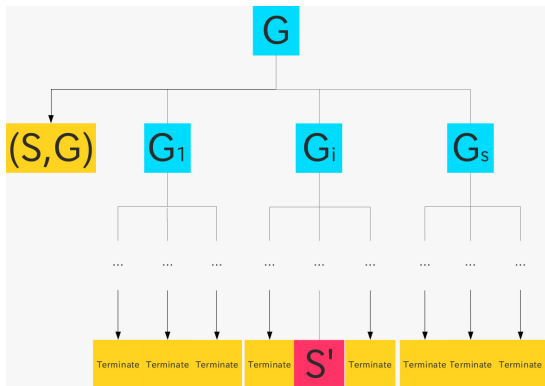


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**output** : ACF QE formula;

Let  $S'$  be a segment where the computation does not terminate.

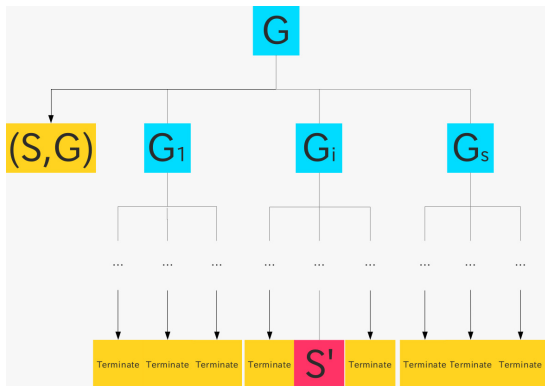


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**output** : ACF QE formula;

Let  $Q = \exists \bar{X} \in \bar{K}^n (S' \wedge f_1 = 0 \wedge \dots \wedge f_r = 0 \wedge g_1 \dots g_s \neq 0)$ .

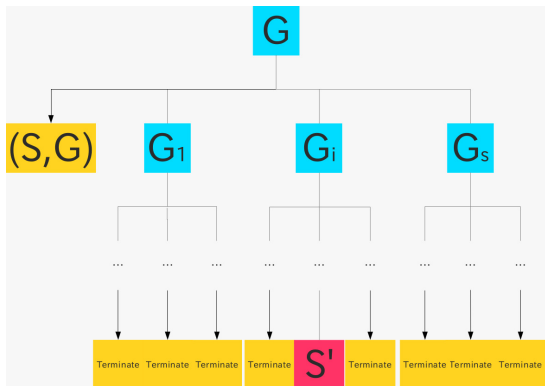


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**output** : ACF QE formula;

Apply GCD-QE to  $Q$ .

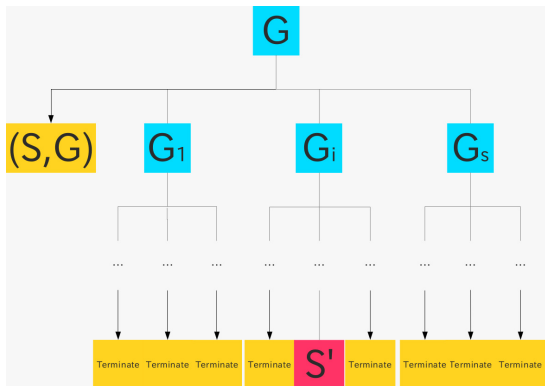


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**output** : ACF QE formula;

For the other segments, follow CGS-QE.



Merits :

- Minimum number of segments

We can have the partition which is almost a minimum number of segments by using CGS-QE.

- Segments where the computation of CGS does not terminate

GCD-QE does not introduce new variables.

Even when GCD-QE does not terminate on whole space, it often terminates on a segment.

## Example

$$f_1 := AX + 2, f_2 := X + BY - AY + 1, g := AX + 1$$
$$\exists(X, Y) \in \mathbb{C}^2 (f_1 = 0 \wedge f_2 = 0 \wedge g \neq 0)$$

Of course the computation of applying any existing algorithms to the above example terminates, but we apply Hybrid-QE.

- We introduce a new variable  $Z$ .
- Let  $F = \{f_1, f_2, 1 - Zg\}$ .
- Let  $\langle Z, X, Y, A, B \rangle$  be block order which is GRL on  $T(Z, X, Y)$  s.t.  $Z > X > Y$  and GRL on  $T(A, B)$  s.t.  $A > B$  and satisfying  $\{Z, X, Y\} \gg \{A, B\}$ .



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## Example

- Compute a **reduce GB**  $G$  of  $\langle F \rangle$  w.r.t.  $\prec_{Z,X,Y,A,B}$  in  $\mathbb{Q}[Z, X, Y, A, B]$ .
  - $G = \{A^2Y - BAY - A + 2, X - AY + BY + 1, Z + 1\}$
  - The set consisting of the head coefficients of  $G = \{A^2 - BA, 1, 1\}$
  - $\text{LCM}(A^2 - BA, 1, 1) = A^2 - BA$
  - $G(a, b)$  is a GB for  $(a, b) \in \mathbb{C}^2 \setminus \mathbf{V}(A^2 - BA)$ .
  - $G(a, b) \cap (\mathbb{Q} \setminus \{0\}) = \emptyset$  for  $(a, b) \in \mathbb{C}^2 \setminus \mathbf{V}(A^2 - BA)$
- If the computation on the segment  $\mathbf{V}(A^2 - BA)$  does not terminate, then we apply the following :
  - let  $Q = \exists(X, Y) \in \mathbb{C}^2 (A^2 - BA = 0 \wedge f_1 = 0 \wedge f_2 = 0 \wedge g \neq 0)$ ,
  - apply **GCD-QE** to  $Q$ ,  
where the return is the segment  $\mathbf{V}(-A + 2, B - 2)$ .
- Return  $(\mathbb{C}^2 \setminus \mathbf{V}(A^2 - BA)) \cup \mathbf{V}(-A + 2, B - 2)$

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## Computation experiment

We applied ACF QE to a number of experiments.

We checked about **100 examples** that CGS-QE does not terminate, but Hybrid-QE terminates, neither of the other algorithms terminates.

### One example

$$f_1 := (AX + BY)^{26} - 1, f_2 := (AXY + BX + CY)^{26} - B, g := AX + BY \\ \exists(X, Y) \in \mathbb{C}^2 (f_1 = 0 \wedge f_2 = 0 \wedge g \neq 0)$$

system	program	time
Mathematica	Reduce	> 1 hour
Mathematica	Resolve	> 1 hour
Maple	Projection	> 1 hour
risa/asir	our implementation of GCD-QE	> 1 hour
risa/asir	our implementation of CGS-QE	out of memory
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# Computation experiment

The following computation terminates by only using CSRC-QE and Hybrid-QE.

## One example

$$f_1 := AXZ + BX - 1, f_2 := (BX + CY)^{14} - 1, g := AX + BZ$$
$$\exists(X, Y, Z) \in \mathbb{C}^3(f_1 = 0 \wedge f_2 = 0 \wedge g \neq 0)$$

Output :

- CSRC-QE

$$(ABC \neq 0) \vee (C(A^2 + B^3) \neq 0) \vee (C = 0 \wedge AB(A^{12} + 7A^4B^{12} - 14A^2B^{15} + 7B^{18}) \neq 0) \vee$$
$$(C = 0 \wedge AB(A^{12} - 2A^{10}B^3 + 4A^8B^6 - 8A^6B^9 + 9A^4B^{12} - 4A^2B^{15} + B^{18}) \neq 0) \vee$$
$$(C = 0 \wedge AB(A^2 + 2B^3) \neq 0) \vee (C = 0 \wedge AB \neq 0) \vee (A = 0 \wedge C = 0 \wedge B \neq 0) \vee$$
$$(A^{12} - 2A^{10}B^3 + 4A^8B^6 - 8A^6B^9 + 9A^4B^{12} - 4A^2B^{15} + B^{18} = 0 \wedge C =$$
$$0 \wedge AB(47A^{10} - 284A^8B^3 + 568A^6B^6 - 519A^4B^9 + 214A^2B^{12} - 47B^{15})(94A^{10} + 117A^8B^3 -$$
$$783A^6B^6 + 1017A^4B^9 - 468A^2B^{12} + 117B^{15})(3844755A^{10} - 9231137A^8B^3 + 7214722A^6B^6 -$$
$$403976A^4B^9 - 832313A^2B^{12} + 474788B^{15}) \neq 0) \vee$$
$$(A^{12} + 7A^4B^{12} - 14A^2B^{15} + 7B^{18} = 0 \wedge C = 0 \wedge AB(42701A^{10} - 346432A^8B^3 + 896904A^6B^6 -$$
$$1411539A^4B^9 + 1193297A^2B^{12} - 396739B^{15})(69310A^{10} - 221942A^8B^3 + 412158A^6B^6 -$$
$$411014A^4B^9 + 176253A^2B^{12} - 17157B^{15})(2186507864386A^{10} - 2706446731217A^8B^3 -$$
$$61230596433A^6B^6 + 10476412105940A^4B^9 - 16403396742588A^2B^{12} + 7291066799632B^{15}) \neq 0)$$

- Hybrid-QE

$$(C = 0 \wedge AB \neq 0) \vee (B = 0 \wedge AC \neq 0) \vee (A = 0 \wedge C = 0 \wedge B \neq 0) \vee (A = 0 \wedge BC \neq 0) \vee (ABC \neq 0)$$

- 1 Background
  - Outline
  - Basic formulas
  - Existing algorithms
  - Merits of each existing algorithms
  - Demerits of each existing algorithms
- 2 Definitions and Lemma
  - Definitions
  - Lemma
- 3 GCD-QE and CGS-QE
  - GCD-QE algorithm
  - CGS-QE algorithm
- 4 Suzuki-Sato's CGS original algorithm
- 5 Hybrid-QE
  - Algorithm
  - Example
  - Computation experiment
- 6 Conclusions and Future works

- Hybrid-QE

We proposed **hybrid-QE** by using GCD-QE and CGS-QE.

- Experiments

The output of Hybrid-QE is **simple**.

There are many examples which **only Hybrid-QE terminates**.

- Parallel computation

We have many possibilities of parallel computation.

- CSRC-QE

For Hybrid-QE we may apply CSRC-QE instead of GCD-QE.

Thank you for your kind attention!

謝謝!